**CHECKLIST FOR TRACEABILITY AUDIT**

**FRIEND OF THE SEA**

|  |
| --- |
| **NAME OF THE ORGANIZATION BEING AUDITED:** Suomi NaturaHans Stefan Berger Uus tn. 7-4 EST-10111 Tallinn Estonia |
| **OPERATIONS OF THE ORGANIZATION BEING AUDITED:****□ Fishing****□ Pre - Processor – Processing but not up to finished product (e.g. loins, etc).****□ End – Processor – Processing up to finished product****□ Importer** □ **Exporter****□ Distributor****□ Other ( please describe):** |
| **DESCRIPTION AND LOCATION OF ANY REFRIGERATION UNIT AND WAREHOUSES:** *(for the purpose of product traceability)*The company is buying and selling the products directly only from one fishery Peipsi Kalatööstus, Kastani 9, Kasepää vald, Jögevamaa Estonia to “Suomi Natura” in Switzerland.  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **No** | **Requirement**  | **Level**  | **Y/N** | **Comments** |
| **1.1** | The Fishery guarantees that a specific traceability system is in place in order to demonstrate that the product audited respects all requirements of this Standard and there is no possibility of mix with other products not under certification. | Essential  | Y | **The company buys fish products only from only one supplier. Other trading products are not related. No possibility of mixing. The stock records were checked.** |
| **1.2** | The Fishery provides clear identification of the origin of the products including the fishing area and the fishing method used. | Essential | Y | **The origin is easy to trace back to the fish product supplier using invoices and transportation documents.**  |
| **1.3**  | The Fishery does use all available interconnected traceability methods (including GPS, and Internet technology) for all larger boats (not necessarily applicable to small-scale coastal fisheries) as part of an unequivocal marking system and non-forgeable document tracing system. | Essential | N/a | **Not applicable, as the company is only the trader.** |

**Please register in the following space the traceability test undergone specifying the relevant data collected.**

**Traceability test: Audits must include a test at the beginning and at the end of one or more batches of the finished product, contemplating suitable mass budgets, bills, invoices and all relevant documents to prove origin of the product and his conformity to the standard**

**Lot / batches of end product:**

|  |
| --- |
| **Traceably of the lot delivered form OU Peipsi Kalatiistus to Suomi Natura in Switzerland:****Invoice from OU Peipsi Kalatoostus to FIE Suome Natura (UUS 7/4 Tallinn, Estonia) Nr. 32 dated 03.02.2011, for Khafilee Royal (Zander) 180/200 – 360 kg; Kahafilee Royal 160/180 (zander fillet) – 360 kg; Ahvenafilee 20/40 nahata (skinned perch) – 720 kg, netto weight 1440 kg;** **Invoice from Suomi Natura (Uus 7/4, Tallinn, Estonia) to Suomi Natura (Haupstr. 15, Augst, Switzerland) Invoice number 534.1 dated 04.03.2011 for Kohafillee Royal/Zanderfilet Royal 180/200 – 360 kg, Kohafilee Royal/Zanderfilet Royal 160/180 – 360kg; Ahvenfilee nahata/Eglifilet ohne Haut 20/40 – 720 kg, netto weight 1440 kg; brutto weright 1486 kg.** **Transportation Invoice Nr. TE00201 dated 28.02.2011 and CMR dated 11.02.2011 for Zanderfilet Royal and Eglifilet Royal Gross weight 1486 kg.** **The warehouse data at Suomi Natura in Swizerland dated 11.02.2011 for Zanderfillets Royal 160-180g – 360kg; Zanderfillets Royal 180-200g – 322 kg; Eglifillets 20-40g – 720kg.** |
|  |
| **Invoice Nr. 334 dated 16.12.2010 from OU Peipsi Kalatoostus to FIE Suomi Natura (Uus 7/4) for Kohafilet taignas (zander in dough) 390kg; Ahvenfilee olletaignas standart (perch in dough) 375kg; Ahvenfilee taignas (perch in dough)special 130kg; Ahvenfilee 20/40 nahata (perch skinned) 217.5kg;** **the Invoice from Suomi Natura (Uus 7/4 Tallinn) to Suomi Natura (Haupststr.15 Swtzerlans) Nr. 466.10 dated 17.12.2010 Ahvenfilee olletaignas/Eglifilet im Biertieg standard (perch) 375 kg, Ahvenfilee olletaignas/Eglifilet im Biertieg special (perch)130kg, Kohafilee olletaignas/Zanderfillet im Bieteig (znader) 390kg, Ahvanfilee nahata/Eglifilets ohne (perch) Haut 2/40 – 217.5kg. netto weight 112.5kg, brutto weight 1170 kg;** **Transportation invoice Nr.M02264 dated 27.12.2010, CMR for 1174.90 kg shipped to Suomi Natura (Switerland).****The warehouse data at Suomi Natura in Switzelarnd dated 12.01.2011 for Eglifilets im Beirteig special 130kg; Eglifilets im Beirteig standard – 375kg; Eglifilets 20/40 – 217.5kg; Zanderknusperli – 390kg.** |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |
|  |

|  |  |  |
| --- | --- | --- |
| **NAME OF THE CERTIFICATION BODY:****SGS Latvija Ltd** | **AUDIT TEAM:****Sandra Lace** | **AUDIT START AND END DATE:****08.03.2011** |
| **SIGNATURE OF AUDITOR:****Sandra Lace** | **NAME OF PERSON IN CHARGE OF THE ORGANISATION ACCOMPANYING THE AUDITOR THROUGH THE ASSESSMENT: Mr. Hans Stefan Berger** | **AUDIT CODE:** |

Date­­­­­­­­­­­­­­­­­\_\_\_\_11.03.2011\_\_\_\_\_\_\_\_\_\_